

# Quantitative HER2 levels and steroid receptor expression in primary breast cancers and in matched brain metastases

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## Abstract

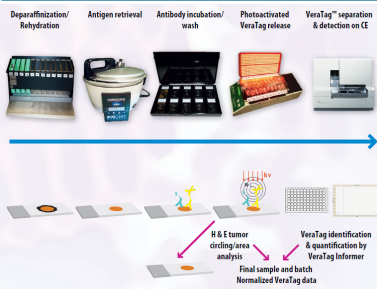
**Background:** Breast cancer patients with human epidermal growth factor receptor (HER2)-positive tumors are at high risk for brain metastases. In the current study we examined expression of estrogen receptor (ER), progesterone receptor (PgR) and HER2 in primary breast tumors and in matched brain metastases, as changes of their levels might reflect modes of escape from therapy.

**Methods:** Fifty-three pairs of matched formalin-fixed paraffin-embedded samples from primary breast cancers and brain metastases were assayed for ER and PgR status by immunohistochemistry, whereas HER2 expression was quantified using the novel HERmark<sup>®</sup> assay. Nuclear staining of ER and PgR  $\geq 10\%$ , and relative fluorescence of HER2  $>17.8/\text{mm}^2$  were considered as positive results.

**Results:** HER2 levels in brain metastases were generally higher than in the primary tumors ( $p = 3e-6$ ), with a median increase of 1.9-fold (range 0.08 to 199-fold). There were also substantial differences in ER and PgR status between primary tumors and brain metastases. Loss of steroid receptor positivity in brain metastases was more frequent than its gain (ER: 46% vs. 26%;  $p = 0.16$ ; PgR: 57% vs. 23%;  $p = 0.044$ ). These changes resulted in a net increase in the number of HER2-positive/ER-negative brain metastases, which more than doubled the proportion of primary breast tumors with this phenotype (26% vs. 11%, respectively;  $p = 0.08$ ). Additionally, HER2 levels in the primary tumors significantly correlated with overall survival when stratified by ER status of the primary tumor ( $p = 0.011$ ).

**Conclusions:** Brain metastases of breast cancer show significant changes in steroid receptor status and in quantitative HER2 levels compared to matched primary tumors. These data provide a rationale for future studies and may help in designing treatment strategies that target the most likely escape pathways of breast cancer.

## Methods - H2T VeraTag Workflow

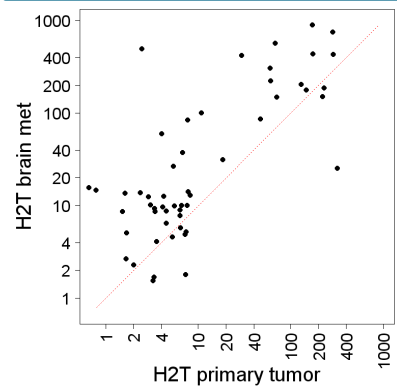


## Patient Characteristics

Characteristic	Category	N	%	
HER2 protein (HERmark H2T) <sup>a</sup>	primary	positive	16 30	
		equivocal	1 2	
	negative	36 68		
		8 15		
	brain met	22 42		
		23 43		
ER	primary	positive	26 49	
		negative	27 51	
	brain met	positive	21 40	
		negative	32 60	
	PgR	primary	positive	21 40
			negative	31 58
unknown		1 2		
brain met	positive	17 32		
		negative	36 68	
	G3	1 2		
Grade	primary	G1 + G2	27 51	
		unknown	2 4	
	ductal	43 81		
		lobular	6 11	
Pathology Type	primary	ductal-lobular	2 4	
		other	1 2	
	unknown	1 2		
Dominant metastatic site	soft tissue	bone	1 2	
		2 4		
	viscera	46 87		
		unknown	4 8	
Age at progression	median	51		
	range	29 - 76		

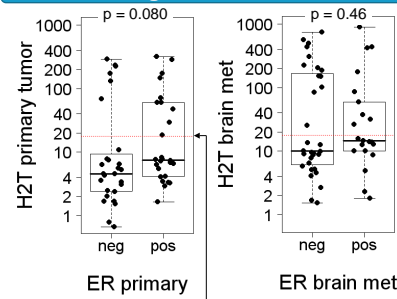
<sup>a</sup>HERmark positive is defined as H2T $>17.8$ . HERmark negative is defined as H2T $\leq 10.5$ . Equivalents are between these two limits. These cutoffs were previously found to coincide with central lab determined 95th % of HER2-negatives and 5th % of HER2-positives.

## Changes in HER2 level by HERmark



HER2 expression measured by HERmark (H2T) was often higher in brain metastases than in the primary tumors, with a median 1.9-fold increase (paired Wilcoxon rank,  $p = 3e-6$ ).

## Changes in ER status



H2T $>17.8$  is HERmark positive, previously found to coincide with  $>95\%$  percentile of central lab determined HER2 negatives.

## Changes in hormone receptor and HER2 status

### ER and PgR status

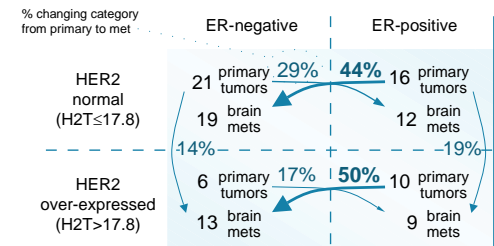
Brain Metastasis	Primary Tumor	
	ER -	ER +
ER -	20	12
ER +	7	14

46% loss vs. 26% gain; Fisher  $p = 0.16$

Brain Metastasis	Primary Tumor	
	PgR -	PgR +
PgR -	24	12
PgR +	7	9

57% loss vs. 23% gain; Fisher  $p = 0.044$

### Fluxes between HER2/ER subgroups net flux to HER+/ER-



- No loss of HER2-positivity from primary to brain met
- More change in ER than HER2 status  $\rightarrow$  selective pressure?
- No cases with changes in both ER and HER2 category

## Correlation with Overall Survival\*

### Univariate correlations

	HR	p
H2T primary (continuous)	1.6	0.035
H2T met (continuous)	1.2	0.29
HER2 IHC	1.1	0.55
HER2 IHC met	1.0	0.74
ER primary	0.67	0.21
ER met	0.70	0.29
Grade	1.2	0.52
trastuzumab treatment	0.98	0.96

\*Overall survival measured from detection of brain metastasis to death or censor.

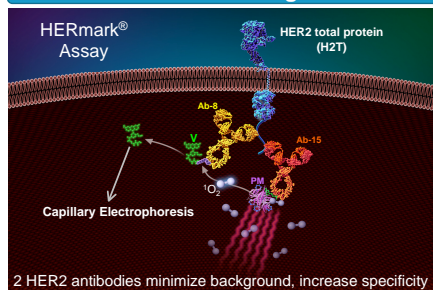
### H2T and ER of primary tumor plus trastuzumab correlate with overall survival

	HR	p
3-variable model - primary tumor	3.0	0.0001
H2T primary (continuous)	0.43	0.017
ER primary	0.29	0.021
trastuzumab treatment	0.29	0.021
3-variable model - brain metastasis	1.4	0.14
H2T met (continuous)	0.66	0.24
ER met	0.70	0.48
trastuzumab treatment	0.70	0.48

## Summary

- H2T showed a median increase of 1.9-fold from primary tumor to brain metastasis.
- Substantial changes in ER status was observed between primary tumor and brain metastases with change from ER-positive to ER-negative occurring more often, possibly due to selective pressure of treatment.
- H2T measured in the primary tumor tissue correlated with overall survival, although H2T measured in the brain metastasis did not.

## Methods - H2T VeraTag<sup>®</sup> method



2 HER2 antibodies minimize background, increase specificity